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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/988,579	11/20/2001	Simon Gerard Hardin	P67299US0

JACOBSON HOLMAN  
400 SEVENTH STREET, N. W.  
WASHINGTON, DC 20004



CONFIRMATION NO. 8749

## FORMALITIES LETTER



\*OC000000007130316\*

Date Mailed: 11/28/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$414.  
    ■ \$414 for 23 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1284.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/29/2002 SZEWDIE1 00000102 09988579

01 FC:101	740.00 OP
02 FC:103	414.00 OP
03 FC:105	130.00 OP

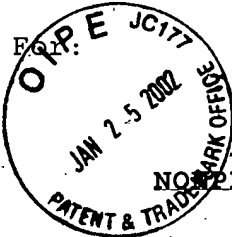
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Simon Gerard HARDIN et al.

Serial No.: 09/988,579

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Filing Date: November 20, 2001



PROCESS AND SOLUTION FOR PROVIDING A CONVERSION  
COATING ON A METALLIC SURFACE II

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION UNDER 37 C.F.R. §1.53(b)

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Attention: APPLICATION BRANCH  
MISSING PARTS OF APPLICATION

Sir:

With respect to the above-identified patent application, the following are filed herewith in response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), mailed November 28, 2001, copy attached.

- ☒ Declaration in compliance with 37 C.F.R. §1.63 (2 sheets in total).
- ☐ Declaration in compliance with 37 C.F.R. §1.63, attached to copy of application as originally filed.
- ☐ Small Entity Declaration under 37 C.F.R. §§1.9 and 1.27.
- ☒ Certified copy of Australia Application No. PQ 6333, filed March 20, 2000, the priority of which is claimed under 35 U.S.C. §119.
- ☒ If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a) - (d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.

Serial No.: 09/988,579

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X Filing Fee, calculated as follows:

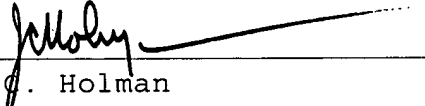
	<u>Small Entity</u>	<u>Large Entity</u>	
Basic Filing Fee	\$370.00	\$740.00	740.00
Total Claims <u>43</u> - 20 = 23	(x \$9) =	(x \$18) =	414.00
Indep. Claims <u>1</u> - 3 = 0	(x \$42) =	(x \$84) =	.00
<u>X</u> Surcharge: Late Filing	+ \$65	+ \$130	130.00
			<b>TOTAL FILING FEES \$</b>
			<b>1284.00</b>

Check No. 56551, in the amount of \$1284.00, is enclosed to cover the filing fee and late filing surcharge. The Commissioner is hereby authorized to debit or credit any fees set forth in §1.16 or §1.17 to Deposit Account No. 06-1358 as needed in order to effect proper filing of the application. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By

  
John G. Holman  
Reg. No. 22,769

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Atty. Docket No.: P67299US0  
Date: January 25, 2002  
JCH/cmf